

**RFQ-11-21-22**



**PREQUALIFICATION FOR DESIGN-BUILD ENTITY**

**FOR**

**OAK GROVE MODERNIZATION OF BUILDING K**

**PROJECT CODE: OG00001002**

**APRIL 05, 2022**

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# **Prequalification for Design-Build Entity Oak Grove Modernization of Building K RFQ-11-21-22**

## *I. INTRODUCTION*

East Side Union High School District ("District"), established in 1950, serves over 24,000 students in grades 9-12 at 12 comprehensive high schools, five alternative education sites, seven child development centers, and six independent charter schools. The District offers a robust career technical education program through its magnet programs, California Academies, and an ROP JPA. The Adult Education Program serves an additional 8,100 students. The District has the fourth largest high school enrollment in the State and the largest in Northern California.

Located in the City of San Jose, Santa Clara County (Silicon Valley), the District encompasses 180 square miles, which geographically parallels approximately 14 miles of the East Foothills of the Valley. Within the East Side community of San Jose, there are approximately 161,092 households with a population of 574,502 residents. Seven feeder elementary districts send students to the District. The equitable community of this urban school district prides itself on its ethnic and cultural diversity. It is considered an ideal community because of its appealing climate, geographical location, and proximity to cultural centers and numerous institutions of higher learning.

### **Proposition 39 Measures G, E, I, and Z**

In 2002, voters in the District approved Bond **Measure G** in the amount of \$298 million for the purpose of modernizing existing school facilities and building new facilities to support the changing needs of K-12 education in the District. A substantial portfolio of projects has been completed under Measure G with seven active projects to be completed.

In 2008, voters in the District approved Bond **Measure E** in the amount of \$349 million for the purpose of continuing the modernization of existing school facilities and building of new facilities to support the changing needs of K-12 education in the District. To date, over 100 Measure E projects have been completed with 36 active projects to be completed.

In 2012, voters in the District approved Bond **Measure I** in the amount of \$120 million for the purpose of continuing the modernization of existing school facilities and building of new facilities to support the changing needs of K-12 education in the District. To date, over 44 Measure I projects have been completed with 38 active projects to be completed.

In 2016, voters in the District approved Bond **Measure Z** in the amount of \$510 million for the purpose of continuing the modernization of existing school facilities and building of new facilities to support the changing needs of K-12 education in the District. Measure Z is the most current Bond Measure with 29 active projects to be completed and four projects still in design.

## *II. PROJECT BRIEF*

The District is seeking to prequalify Design-Build Entities ("DBEs") or design-build teams to submit Statement of Qualification ("SOQ") for the design and construction of the District's **Oak Grove Modernization of Building K Project** ("Project"), in accordance with Education Code section 17250.10 et seq. The top three DBE Respondents ("Respondents") that have been prequalified by the District in response to this Request for Qualifications ("RFQ") will be eligible to submit proposals in response to the District's subsequent Request for Proposals ("RFP") for the Project. The District's performance specifications, which the selected DBE must adhere to in delivering the Project, will be made available to the prequalified Respondents at the RFP stage.

The DBE must be appropriately licensed and registered in the State of California for architectural, engineering, and construction services as needed to complete the Project. In addition, the selected DBE shall have experience with both design and construction of public-school facilities and in working with the Office of Public School Construction ("OPSC"), the Division of the State Architect ("DSA"), and Title 24 of the California Code of Regulations.

The DBE must be registered with the Department of Industrial Relations ("DIR") as required by law. The selected DBE will be required to comply with the Labor Code prevailing wage requirements and the District's bonding and insurance requirements. The DBE shall be required to work cooperatively with District staff, the Board of Education, all other technical consultants, the bridging architect, the project inspector, and any program and/or construction manager, retained by the District for the Project, Citizens' Bond Oversight Committee ("CBOC"), other District committees, and the community to facilitate timely and professional completion of the Project.

The selected DBE and its subcontractors shall comply with all applicable federal, state and local laws regarding COVID-19, including Vaccination and Testing Requirements.

## *PROJECT BACKGROUND*

The Project is initiated for the improvements of Building K and surrounding areas to upgrade the kitchen, servery, and dining facility at Oak Grove High School. The overall design will provide a contemporary and functional space where services, learning, and positive social interaction occur at Oak Grove High School. The new dining facility will fulfill several campus objectives such as providing an environment where events can be staged, and where students can gather informally outside of the classroom. The indoor/outdoor experience will provide space for varied functions and groups and will need to maintain a comfortable scale in all configurations. It will support dining areas, student activities, and presentations. The site's unique proximities allow an inviting transition of unobstructed flow of indoor/outdoor spaces providing a seamless experience to/from the servery, dining facility, and outdoor seating.

## *ESTIMATED COST*

The expected cost of the Project, inclusive of all design and construction phase services as described above, is estimated to be **\$13,750,000.00**.

### *ESTIMATED PROJECT DURATION*

The contract duration is estimated to be **942 calendar days** but will be established in the RFP. Liquidated damages will apply to late completion and the amount of the liquidated damages will be set in the RFP.

The campus will be occupied during the design and construction of this project.

### *PROJECT LABOR AGREEMENT*

This project will be completed under the District's [Project Labor Agreement \(PLA\)](#).

### *BRIDGING/CRITERIA ARCHITECT*

The District has engaged JK Architecture Engineering as its architectural firm to assist in the development of design criteria documents specific to the Project. Accordingly, JK Architecture Engineering shall not be eligible to participate with any Respondents. Any Respondents proposing JK Architecture Engineering, or any individual affiliated with JK Architecture Engineering for this project, as part of its design team will be considered nonresponsive and grounds for disqualification. (See Board Bylaw 9270 - Link below) Conflict of Interest, identifying other conflict-of-interest prohibitions on participating as part of a Respondent.

<http://go.boarddocs.com/ca/esuhsd/Board.nsf/goto?open&id=BFAU5Q76EBA4>

### *STIPEND*

A \$7,500 stipend will be paid to the Respondents who complete the entire RFQ and RFP process, but who are not selected for award of contract.

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### III. TIMELINE/SCHEDULE AND EVALUATION

#### A. RFQ Schedule

The District anticipates the general schedule/milestones below; however, this is not a schedule-based contract. These dates are estimates and may change.

<b>ACTION ITEM/DESCRIPTION</b>	<b>SCHEDULE</b>
Release of RFQ	April 05, 2022
Request for Information Due	April 19, 2022 at 2:00 PM
Last Day for District to issue any addenda	April 21, 2022 at 2:00 PM
SOQ DUE**	April 27, 2022 at 2:00 PM
Notice to Prequalified Respondents	Week of May 09, 2022
Anticipated Release of RFP to Prequalified Respondents	Late May 2022

*\*\*Oral, telegraphic, facsimile, telephone, or email SOQs will not be accepted. SOQs received after this date and time will not be accepted.*

<b>ANTICIPATED RFP TIMELINE</b>	
Anticipated Release of RFP to Prequalified Respondents	Week of May 30, 2022
1 <sup>st</sup> Confidential Meeting	Week of June 20, 2022
2 <sup>nd</sup> Confidential Meeting	Week of July 11, 2022
3 <sup>rd</sup> Confidential Meeting	Week of August 01, 2022
RFP DUE	Week of August 22, 2022
DBE Presentations	Week of September 6, 2022
Anticipated Board Award	October 2022

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**B. Selection Criteria**

Statement of Qualifications will be evaluated under the following factors and score weight:

<b>FACTOR</b>	<b>DESCRIPTION</b>	<b>WEIGHT</b>
Design Experience & Expertise	Design-Build Architect must have sufficient experience and expertise to design the project that is being requested	30
Construction Experience & Expertise	Design-Build Contractor must have sufficient experience and expertise that matches the project description	40
Safety Record	Design-Build Contractor will include their safety record performed on past projects	10
Skilled and Trained Labor Force	Design-Build contractor must demonstrate their ability to meet the requirements of AB 1358 for Skilled and Trained Labor Force	10
Violations and/or disputes	Design-Build team must list any violations and/or disputes that any of their team members may have been or are being involved with	10
<b>MAXIMUM SCORE: 100 Points</b>		

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#### IV. GENERAL CONDITIONS

##### A. Design-Build Procurement Process

Pursuant to Education Code section 17250.25, procurement of a DBE will follow two (2) phases:

1. Phase 1: Prequalification – The District incorporates by reference the prequalification application and evaluation system through [PlanetBids.com](https://www.planetbids.com). All contractors and Mechanical, Electrical, and Plumbing (“MEP”) subcontractors are required to be prequalified pursuant to the District’s [prequalification](#) requirements, per PCC 20111.6. In this RFQ, the District is requesting SOQs based on the significant factors the District reasonably expects to consider in evaluating qualifications identified in Section V (Instructions for Submittal of SOQS) below.
2. Phase 2: Design-Build Competition – The District will issue an RFP, inviting the top three prequalified Respondents to submit competitive proposals for the Project. The District will use a best value selection method for evaluating proposals. The procedure for final selection will be as follows:
  - a. The District may, at its discretion, request proposal revisions and hold discussions and negotiations with responsive proposers. The RFP will define applicable procedures to ensure that discussions or negotiations are conducted in good faith.
  - b. The District will rank responsive proposers based on a determination of value provided but shall not be required to rank more than three (3) proposers.
  - c. The District will evaluate responsive proposals using only the criteria and selection procedures specifically identified in the RFP.
  - d. The District will award the contract, if at all, to the responsible DBE whose proposal is determined by the District to be the best value.

Per District Policy, any person or entity that has performed or is performing services for District relating to the solicitation of this design-build project will not be eligible to submit as a design-build entity or join a design-build team.

If applicable, Respondents who are submitting a SOQ to the District must indicate deviations in a separate specification sheet attached to the SOQ.

In the event of any conflicts or ambiguities between these instructions and State or Federal laws, regulations or rules, then the latter shall prevail.

##### B. Request for Information

Any explanation requested by the Respondents regarding the meaning or interpretation of this RFQ must be submitted via [PlanetBids.com](https://www.planetbids.com). Oral explanations or instructions will not be binding. In the interest of fairness and an open process, the District will furnish information concerning this RFQ with an addendum via [PlanetBids.com](https://www.planetbids.com).



### ***C. Full Opportunity***

The District hereby affirmatively ensures that all Respondents including Disadvantaged Business Enterprises ("DBE"), Small Local Business Enterprise ("SLBE"), Small Emerging Local Business Enterprise ("SELBE"), and Disabled Veterans Business Enterprise ("DVBE") firms shall be afforded full opportunity to submit qualifications in response to this RFQ and will not be discriminated against on the basis of race, color, national origin, ancestry, disability, gender, transgender status, political affiliation, or religion in any consideration leading to the award of contract.

### ***D. Limitations***

This RFQ is neither a formal request for proposal, nor an offer by the District to contract with any party responding to this RFQ. The District reserves the right to add additional prequalified Respondents for consideration after distribution of this RFQ. All decisions concerning selection of the DBE will be made in the best interest of the District. The issuing of a subsequent RFP and awarding of the contract pursuant to the subsequent RFP, if at all, is at the sole discretion of the District.

The District makes no representation that participation in this RFQ process will lead to an award of contract or any consideration whatsoever. The District shall in no event be responsible for the cost of preparing any SOQ or other response to this RFQ.

SOQs are not public records and are not open to public inspection. All information provided will be kept confidential to the extent permitted by law. The contents, however, may be disclosed to third parties for purpose of verification or investigation. State law requires that the names of Respondents applying for prequalification status shall be public records subject to disclosure.

### ***E. Restrictions on Lobbying and Contacts***

From the period beginning on the date of the issuance of this RFQ and ending on the date of the award of the contract, no person, or entity submitting in response to this RFQ, nor any officer, employee, representative, agent, or consultant representing such a person or entity shall contact through any means or engage in any discussion regarding this RFQ, the evaluation or selection process/or the award of the contract with any member of the District, Board of Education, selection members, or any member of the CBOC. Any such contact shall be grounds for disqualification of the Respondent submitting a SOQ.

### ***F. Final Determination***

Prequalification is at the sole discretion of the District. The District reserves the right to waive any irregularities, informalities, and omissions in the information contained in any SOQ, reject any SOQs, and to make all final determinations. The District further reserves the right to suspend or rescind prequalification at any time based on subsequently learned information.

Each SOQ must be certified under penalty of perjury. If any information provided by a respondent becomes inaccurate, the respondent must immediately notify District and provide updated, accurate information in writing, under penalty of perjury.

Incomplete SOQs will be considered nonresponsive and grounds for disqualification. The District retains the sole discretion to determine issues of compliance and to determine whether a respondent is responsive and qualified.

## V. INSTRUCTIONS FOR SUBMITTAL OF STATEMENT OF QUALIFICATION

The purpose of this SOQ is to demonstrate the DBE's ability to design and construct public works project. The Respondent's completed SOQ shall be clear, concise, and well-organized. Please index and number all pages (tabbed and labeled organization is appreciated).

DBE must submit the SOQ through [PlanetBids.com](http://PlanetBids.com). DBE seeking clarification of the process should submit their questions in writing via [PlanetBids.com](http://PlanetBids.com).

A completed Statement of Qualification submittal will include the following:

**Cover Letter** – DBE will submit an **Introductory Letter** (one page), addressed to Julio Lucas, Senior Manager of the Bond Program and include the following: the legal name of the DBE team, contact person, address, telephone, and website, as well as email addresses of principal contacts.

**Table of Contents** – A **Table of Contents** (one page) of the material contained in the submittal should follow the Cover Letter.

**Application** – Submitter shall include a completed **Application** attached to this document, as **Exhibit A** and **Exhibit B**

**Exhibit A** – The **Description of Qualification** should include the following sections:

- A. Experience – A Comprehensive Narrative
- B. Established Performance History
- C. Team Organization (one page) and Resumes of Proposed Key Personnel (one page per proposed member)
- D. Supplemental Information – Optional (one page)
- E. Insurance Information

**Exhibit B** – The **Qualification Application** should include the following sections:

- A. Design-Build Entity Team Information
- B. General Contractor Information
- C. Architect of Record Information
- D. Civil Engineer Information
- E. Structural Engineer Information
- F. Mechanical Engineer Information
- G. Electrical Engineer Information
- H. Plumbing Information
- I. Other Principal Engineer Information (if applicable)
- J. Pass/Fail Questionnaire
- K. Signature Page

## **EXHIBIT A**

### **Description of Qualification**

#### **A. Experience – A Comprehensive Narrative**

Provide a Comprehensive Narrative of the Design-Build services for public works construction offered by the DBE team. Respondents should demonstrate how they either match or closely approximate the significant factors below by providing the following information:

- Design experience and expertise
- Construction experience and expertise
- Describe the firm's acceptable safety record
- Explain the firm's enforceable commitment to use a skilled and trained workforce for the Project, as applicable
- Capacity to obtain required bonds and insurance of the Project. Submitter shall provide a letter from an insurance company confirming submitter's bonding capacity
- Financial capacity to complete the Project

#### **B. Established Performance History**

- List at least two recent projects in which the DBE has participated as a contractor, builder, designer, architect, or designer-builder during the past five (5) years with a contract value of at least Ten Million Dollars (\$10,000,000)
- Include all projects for California K-12 school district(s) and California community college district(s) performed by the DBE or one of entities that is part of the DBE. Projects with DSA review must be included for consideration.
- List any violations and/or disputes that any of the team members may have been or are being involved with
- Provide any other information that would assist the District in understanding the DBE's capacity to efficiently and effectively complete the Project

#### **C. Team Organization and Resumes of Proposed Key Personnel**

The District is interested in knowing more about the people and their background associated with the DBE. Identify key personnel that would be assigned to work on this Project.

- Provide a Project Organization Chart which shows all firms and names of team members, including subcontractors (i.e. structural, civil, mechanical, electrical, plumbing, and other principal engineer) that the DBE is prequalifying in this SOQ. General Contractors are free to list their known subcontractors.

- Provide a resume for each DBE team member:
  - Name
  - Years with company
  - Years of experience
  - List a minimum of three public educational projects
  - List all required license numbers, registration numbers, certification(s), and affiliation(s) to design and construct the Project, with no recent revocations or suspensions
  - Any additional information

**D. Supplemental Information – Optional**

District may use other sources of information outside of the SOQs to investigate respondents or verify answers. District’s investigation may extend beyond contacting project references identified in the SOQs. After completing its evaluation, the District will notify respondents selected for prequalification.

- Respondents may add supplementary information if such information would assist in the analysis of the SOQ.

**E. Insurance Information**

Submitter shall provide a letter from an insurance company confirming that the surety will provide Submitter the minimum coverage(s) and amounts(s) included herein:

Required Insurance:

- a. Comprehensive General Liability, Insurance coverage amount(s) shall be no less than: Comprehensive General Liability - \$2,000,000 for each occurrence - \$4,000,000 general aggregate limit.
- b. Comprehensive Automobile Liability, covering allowed, non-owned, and hired vehicles. Insurance coverage amount(s) shall be no less than: \$2,000,000 each person Bodily Injury - \$2,000,000 each occurrence Bodily Injury - \$2,000,000 each occurrence Property Damage.
- c. Worker’s Compensation Insurance, in accordance with the most recently amended version of the “Workers’ Compensation Insurance and Safety Act”. Insurance coverage amount(s) shall be that amount established by the State.
- d. Professional Liability ("Errors and Omissions") Insurance covering DBE’s activities, in the amount not less than \$2,000,000.00 with an insurance carrier satisfactory to District for the period covered by this Agreement.
- e. Builder’s Risk Insurance, Design/Builder shall procure and maintain Builder's Risk, the cost shall be consistent with the total replacement cost of all insurable Work of the Project included within the Contract Documents.

f. Other Requirements:

- i. DBE shall furnish the District a Certificate of Insurance prior to commencement of work. Upon request by the District, DBE shall provide a certified copy of any insurance policy to the District within ten (10) working days.
- ii. The insurance company or companies shall provide signed copies of the specified endorsements for each policy. DBE shall submit endorsement copies within thirty (30) days of execution of this Agreement. Said endorsement must name ESUHSD, its agents and representatives as additionally insured.
- iii. Certificates and policies shall state that the policies not be canceled or reduced in coverage or changed in any other material aspect without thirty (30) days prior written notice to the District

Provide details of DBE's insurance history for previous 5 years:

Agency Name: \_\_\_\_\_  
Contact Name: \_\_\_\_\_  
Phone: \_\_\_\_\_  
Email Address: \_\_\_\_\_  
Carrier: \_\_\_\_\_  
A.M. Best Rating: \_\_\_\_\_

**EXHIBIT B**  
**Qualification Application**

Respondents shall complete the application below and submit in accordance with instructions provided by the District.

**A. DESIGN-BUILD ENTITY TEAM INFORMATION**

General Contractor: \_\_\_\_\_

Architect of Record: \_\_\_\_\_

    Civil Engineer: \_\_\_\_\_

Structural Engineer: \_\_\_\_\_

Mechanical Engineer: \_\_\_\_\_

    Electrical Engineer: \_\_\_\_\_

        Plumbing: \_\_\_\_\_

Other Principal Engineer  
Name (specify expertise): \_\_\_\_\_

  

Design-Build Entity  
Primary Contact Person: \_\_\_\_\_

    Address: \_\_\_\_\_

    Phone: \_\_\_\_\_

    Email: \_\_\_\_\_

  

DIR Registration Number: \_\_\_\_\_

Insurance Company (Co.): \_\_\_\_\_

    Address: \_\_\_\_\_

Insurance Co.'s Agent: \_\_\_\_\_

    Agent's Phone: \_\_\_\_\_

If the DBE is a corporation, limited liability company, partnership, joint venture, or other legal entity, identify all shareholders, partners, or members known at the time of submitting the SOQ who will perform work on the Project (as Appendix A1). (PCC 22164(3)(A))

If the proposed DBE is a corporation, limited liability company, partnership, joint venture, or other legal entity (PCC 22164(3)(F)), attach a copy of the organizational documents or agreement committing to form the organization (as Appendix A2). Failure to attach will result in automatic disqualification.

**B. GENERAL CONTRACTOR INFORMATION**

Company Name  
(as it appears on license): \_\_\_\_\_

Date of formation/incorporation: \_\_\_\_\_

State of formation/incorporation: \_\_\_\_\_

Address: \_\_\_\_\_  
Contractor DIR Registration  
Number: \_\_\_\_\_

Contractor License Number: \_\_\_\_\_

License Classification: \_\_\_\_\_

License Expiration Date: \_\_\_\_\_

**Type of Firm:**

Corporation

Sole Proprietorship

Partnership

Joint Venture

LLC

Other:

Contact Person: \_\_\_\_\_

Title: \_\_\_\_\_

Phone: \_\_\_\_\_

Email: \_\_\_\_\_

**If Company is a sole proprietor or partnership, identify Owner(s) of Company as follows:**

Name: \_\_\_\_\_

Position: \_\_\_\_\_

Years with Company: \_\_\_\_\_

Percentage of Ownership: \_\_\_\_\_

[General Contractor Information continue on next page]

(General Contractor Information Continued)

Contractor's Bonding

Co./Surety: \_\_\_\_\_

Address: \_\_\_\_\_

Surety Agent: \_\_\_\_\_

Agent's Phone: \_\_\_\_\_

Co.'s Bonding Capacity: \_\_\_\_\_

1. Has there been a change in the Company's Surety within the last three (3) years?

**YES**    **NO**   If yes, please identify other sureties and provide explanation on a separate page.  
[Reference as B1]

2. Has there been a change in ownership of the Company within the last three (3) years?

**YES**    **NO**   If yes, please provide explanation on a separate page.  
[Reference as B2]

**\*NOTE:** A publicly-traded corporation is not required to answer this question.

3. Has the Company changed names or license number in the past five (5) years?

**YES**    **NO**   If yes, please provide explanation, including reason for the change on a separate page.  
[Reference as B3]

4. Has any owner, Contractor State License Board qualifier, or corporate officer operated as a contractor under any name or license number (not listed above) in the last five (5) years?

**YES**    **NO**   If yes, please provide explanation on a separate page.  
[Reference as B4]



**C. ARCHITECT OF RECORD INFORMATION**

Company Name  
(as it appears on license): \_\_\_\_\_

Date of formation/incorporation: \_\_\_\_\_

State of formation/incorporation: \_\_\_\_\_

Address: \_\_\_\_\_

**Type of Firm:**

Corporation

Sole Proprietorship

Partnership

Joint Venture

LLC

Other:

Contact Person: \_\_\_\_\_

Title: \_\_\_\_\_

Phone: \_\_\_\_\_

Email: \_\_\_\_\_

**If Company is a sole proprietor or partnership, identify Owner(s) of Company as follows:**

Name: \_\_\_\_\_

Position: \_\_\_\_\_

Years with Company: \_\_\_\_\_

Percentage of Ownership: \_\_\_\_\_

Insurance Co.: \_\_\_\_\_

Address: \_\_\_\_\_

Insurance Co.'s Agent: \_\_\_\_\_

Agent's Phone: \_\_\_\_\_

[Architect of Record Information continue on next page]

(Architect of Record Information Continued)

1. Has there been a change in ownership of the Company within the last three (3) years?

**YES**    **NO**   If yes, please provide explanation on a separate page.  
[Reference as C1]

**\*NOTE:** A publicly-traded corporation is not required to answer this question.

2. Has the Company changed names in the past five (5) years?

**YES**    **NO**   If yes, please provide explanation, including reason for the change on a separate page.  
[Reference as C2]

3. Has any owner or corporate officer operated worked for any other architectural firm in the last five (5) years?

**YES**    **NO**   If yes, please provide explanation on a separate page.  
[Reference as C3]

**D. CIVIL ENGINEER INFORMATION**

Company Name  
(as it appears on license): \_\_\_\_\_

Date of formation/incorporation: \_\_\_\_\_

State of formation/incorporation: \_\_\_\_\_

Address: \_\_\_\_\_

License Number: \_\_\_\_\_

**Type of Firm:**

Corporation

Sole Proprietorship

Partnership

Joint Venture

LLC

Other:

Contact Person: \_\_\_\_\_

Title: \_\_\_\_\_

Phone: \_\_\_\_\_

Email: \_\_\_\_\_

**If Company is a sole proprietor or partnership, identify Owner(s) of Company as follows:**

Name: \_\_\_\_\_

Position: \_\_\_\_\_

Years with Company: \_\_\_\_\_

Percentage of Ownership: \_\_\_\_\_

Insurance Co.: \_\_\_\_\_

Address: \_\_\_\_\_

Insurance Co.'s Agent: \_\_\_\_\_

Agent's Phone: \_\_\_\_\_

[Civil Engineer Information continue on next page]

(Civil Engineer Information Continued)

1. Has there been a change in ownership of the Company within the last three (3) years?

**YES**    **NO**   If yes, please provide explanation on a separate page.  
[Reference as D1]

**\*NOTE:** A publicly-traded corporation is not required to answer this question.

2. Has the Company changed names or license number in the past five (5) years?

**YES**    **NO**   If yes, please provide explanation, including reason for the change on a separate page.  
[Reference as D2]

3. Has any owner or corporate officer operated worked for any other civil engineering firm in the last five (5) years?

**YES**    **NO**   If yes, please provide explanation on a separate page.  
[Reference as D3]

**E. STRUCTURAL ENGINEER INFORMATION**

Company Name  
(as it appears on license): \_\_\_\_\_

Date of formation/incorporation: \_\_\_\_\_

State of formation/incorporation: \_\_\_\_\_

Address: \_\_\_\_\_

License Number: \_\_\_\_\_

**Type of Firm:**

Corporation

Sole Proprietorship

Partnership

Joint Venture

LLC

Other:

Contact Person: \_\_\_\_\_

Title: \_\_\_\_\_

Phone: \_\_\_\_\_

Email: \_\_\_\_\_

**If Company is a sole proprietor or partnership, identify Owner(s) of Company as follows:**

Name: \_\_\_\_\_

Position: \_\_\_\_\_

Years with Company: \_\_\_\_\_

Percentage of Ownership: \_\_\_\_\_

Insurance Co.: \_\_\_\_\_

Address: \_\_\_\_\_

Insurance Co.'s Agent: \_\_\_\_\_

Agent's Phone: \_\_\_\_\_

[Structural Engineer Information continue on next page]

(Structural Engineer Information Continued)

1. Has there been a change in ownership of the Company within the last three (3) years?

**YES**    **NO**   If yes, please provide explanation on a separate page.  
[Reference as E1]

**\*NOTE:** A publicly-traded corporation is not required to answer this question.

2. Has the Company changed names or license number in the past five (5) years?

**YES**    **NO**   If yes, please provide explanation, including reason for the change on a separate page.  
[Reference as E2]

3. Has any owner or corporate officer operated worked for any other structural engineering firm in the last five (5) years?

**YES**    **NO**   If yes, please provide explanation on a separate page.  
[Reference as E3]

**F. MECHANICAL ENGINEER INFORMATION**

Company Name  
(as it appears on license): \_\_\_\_\_

Date of formation/incorporation: \_\_\_\_\_

State of formation/incorporation: \_\_\_\_\_

Address: \_\_\_\_\_

License Number: \_\_\_\_\_

**Type of Firm:**

Corporation

Sole Proprietorship

Partnership

Joint Venture

LLC

Other:

Contact Person: \_\_\_\_\_

Title: \_\_\_\_\_

Phone: \_\_\_\_\_

Email: \_\_\_\_\_

**If Company is a sole proprietor or partnership, identify Owner(s) of Company as follows:**

Name: \_\_\_\_\_

Position: \_\_\_\_\_

Years with Company: \_\_\_\_\_

Percentage of Ownership: \_\_\_\_\_

Insurance Co.: \_\_\_\_\_

Address: \_\_\_\_\_

Insurance Co.'s Agent: \_\_\_\_\_

Agent's Phone: \_\_\_\_\_

[Mechanical Engineer Information continue on next page]

(Mechanical Engineer Information Continued)

1. Has there been a change in ownership of the Company within the last three (3) years?

**YES**    **NO**   If yes, please provide explanation on a separate page.  
[Reference as F1]

**\*NOTE:** A publicly-traded corporation is not required to answer this question.

2. Has the Company changed names or license number in the past five (5) years?

**YES**    **NO**   If yes, please provide explanation, including reason for the change on a separate page.  
[Reference as F2]

3. Has any owner or corporate officer operated worked for any other mechanical engineering firm in the last five (5) years?

**YES**    **NO**   If yes, please provide explanation on a separate page.  
[Reference as F3]



**G. ELECTRICAL ENGINEER INFORMATION**

Company Name  
(as it appears on license): \_\_\_\_\_

Date of formation/incorporation: \_\_\_\_\_

State of formation/incorporation: \_\_\_\_\_

Address: \_\_\_\_\_

License Number: \_\_\_\_\_

**Type of Firm:**

Corporation

Sole Proprietorship

Partnership

Joint Venture

LLC

Other:

Contact Person: \_\_\_\_\_

Title: \_\_\_\_\_

Phone: \_\_\_\_\_

Email: \_\_\_\_\_

**If Company is a sole proprietor or partnership, identify Owner(s) of Company as follows:**

Name: \_\_\_\_\_

Position: \_\_\_\_\_

Years with Company: \_\_\_\_\_

Percentage of Ownership: \_\_\_\_\_

Insurance Co.: \_\_\_\_\_

Address: \_\_\_\_\_

Insurance Co.'s Agent: \_\_\_\_\_

Agent's Phone: \_\_\_\_\_

[Electrical Engineer Information continue on next page]

(Electrical Engineer Information Continued)

1. Has there been a change in ownership of the Company within the last three (3) years?

**YES**    **NO**   If yes, please provide explanation on a separate page.  
[Reference as G1]

**\*NOTE:** A publicly-traded corporation is not required to answer this question.

2. Has the Company changed names or license number in the past five (5) years?

**YES**    **NO**   If yes, please provide explanation, including reason for the change on a separate page.  
[Reference as G2]

3. Has any owner or corporate officer operated worked for any other electrical engineering firm in the last five (5) years?

**YES**    **NO**   If yes, please provide explanation on a separate page.  
[Reference as G3]

**H. PLUMBING INFORMATION**

Company Name  
(as it appears on license): \_\_\_\_\_

Date of formation/incorporation: \_\_\_\_\_

State of formation/incorporation: \_\_\_\_\_

Address: \_\_\_\_\_

License Number: \_\_\_\_\_

**Type of Firm:**

Corporation

Sole Proprietorship

Partnership

Joint Venture

LLC

Other:

Contact Person: \_\_\_\_\_

Title: \_\_\_\_\_

Phone: \_\_\_\_\_

Email: \_\_\_\_\_

**If Company is a sole proprietor or partnership, identify Owner(s) of Company as follows:**

Name: \_\_\_\_\_

Position: \_\_\_\_\_

Years with Company: \_\_\_\_\_

Percentage of Ownership: \_\_\_\_\_

Insurance Co.: \_\_\_\_\_

Address: \_\_\_\_\_

Insurance Co.'s Agent: \_\_\_\_\_

Agent's Phone: \_\_\_\_\_

[Plumbing Information continue on next page]

(Plumbing Information Continued)

1. Has there been a change in ownership of the Company within the last three (3) years?

**YES**    **NO**   If yes, please provide explanation on a separate page.  
[Reference as H1]

**\*NOTE:** A publicly-traded corporation is not required to answer this question.

2. Has the Company changed names or license number in the past five (5) years?

**YES**    **NO**   If yes, please provide explanation, including reason for the change on a separate page.  
[Reference as H2]

3. Has any owner or corporate officer operated worked for any other plumbing firm in the last five (5) years?

**YES**    **NO**   If yes, please provide explanation on a separate page.  
[Reference as H3]

**I. OTHER PRINCIPAL ENGINEER INFORMATION (IF APPLICABLE)**

Company Name  
(as it appears on license): \_\_\_\_\_

Date of formation/incorporation: \_\_\_\_\_

State of formation/incorporation: \_\_\_\_\_

Address: \_\_\_\_\_

License Number: \_\_\_\_\_

Engineering Discipline: \_\_\_\_\_

**Type of Firm:**

Corporation  
 Joint Venture

Sole Proprietorship  
 LLC

Partnership  
 Other:

Contact Person: \_\_\_\_\_

Title: \_\_\_\_\_

Phone: \_\_\_\_\_

Email: \_\_\_\_\_

**If Company is a sole proprietor or partnership, identify Owner(s) of Company as follows:**

Name: \_\_\_\_\_

Position: \_\_\_\_\_

Years with Company: \_\_\_\_\_

Percentage of Ownership: \_\_\_\_\_

Insurance Co.: \_\_\_\_\_

Address: \_\_\_\_\_

Insurance Co.'s Agent: \_\_\_\_\_

Agent's Phone: \_\_\_\_\_

[Other Principal Engineer Information continue on next page]

(Other Principal Engineer Information Continued)

1. Has there been a change in ownership of the Company within the last three (3) years?

**YES**    **NO**   If yes, please provide explanation on a separate page.  
[Reference as I1]

**\*NOTE:** A publicly-traded corporation is not required to answer this question.

2. Has the Company changed names or license number in the past five (5) years?

**YES**    **NO**   If yes, please provide explanation, including reason for the change on a separate page.  
[Reference as I2]

3. Has any owner or corporate officer operated worked for any other engineering firm in the last five (5) years?

**YES**    **NO**   If yes, please provide explanation on a separate page.  
[Reference as I3]

**J. PASS/FAIL QUESTIONNAIRE**

1. Does Architect possess a valid and current California professional license for the services for which Architect will be responsible?  **YES**  **NO**
2. Does each Principal Engineer (Structural, Civil, Mechanical, Electrical, Plumbing, and Acoustical (if applicable)) possess a valid and current California professional license for the services for which the Engineer will be responsible?  **YES**  **NO**
3. Does Architect have a current Workers' Compensation Insurance policy as required by the Labor Code or is legally self-insured pursuant to Labor Code section 3700 *et. seq.*?  **YES**  **NO**
4. Does each Engineer have a current Workers' Compensation Insurance policy as required by the Labor Code or is legally self-insured pursuant to Labor Code section 3700 *et. Seq.*?  **YES**  **NO**
5. Does Contractor know and understand its obligations regarding the employment of apprentices on public works projects and intends to comply with such requirements?  **YES**  **NO**
6. Is the Design-Build Entity's surety (and Contractor's surety, if Contractor is not the Design-Build Entity) admitted by the State of California Department of Insurance to do business in the State of California?  **YES**  **NO**
7. Is the Design-Build Entity's surety (and Contractor's surety, if Contractor is not the Design-Build Entity) listed in the current edition of the United States Department of the Treasury's listing of approved sureties?  **YES**  **NO**
8. Does the Design-Build Entity's surety (and Contractor's surety, if Contractor is not the Design-Build Entity) possess a Bests' rating of no less than (A-) Level VII?  **YES**  **NO**
9. Has the Architect's license been revoked at any time in the last five years?  **YES**  **NO**
10. Has any Engineer's (Structural, Civil, Mechanical, Electrical, Plumbing, and Acoustical (if applicable)) license been revoked at any time in the last five years?  **YES**  **NO**

[Pass/Fail Questionnaire continue on next page]

(Pass/Fail Questionnaire Continued)

11. Has Contractor been "default terminated" by an owner (other than for convenience) or its surety has completed or paid for completion of a contract within the last five years?  YES  NO

12. Has Contractor been deemed ineligible to bid on or be awarded any local, state or federal public works contract, or to perform as a general contractor or subcontractor on any such public works contract, pursuant to either Labor Code section 1777.1 or Labor Code section 1777.7 or any other local, state or federal law or regulation?  YES  NO

13. Is the Contractor, the Architect, or any Principal Engineer (Structural, Civil, Mechanical, Electrical, Plumbing, and Acoustical (if applicable)) currently the debtor in a bankruptcy case?  YES  NO

**In order to pass, Respondent must answer "yes" to questions 1-8, and answer "no" to questions 9-13**



**K. SIGNATURE PAGE**

The undersigned members of \_\_\_\_\_  
("Design-Build Entity") hereby declare, under penalty of perjury under the laws of the State  
of California, that all information provided in the Design-Build Entity's Statement of  
Qualifications are true and correct.

**General Contractor:** \_\_\_\_\_ Date: \_\_\_\_\_  
Signature: \_\_\_\_\_ Print Name: \_\_\_\_\_

**Architect of Record:** \_\_\_\_\_ Date: \_\_\_\_\_  
Signature: \_\_\_\_\_ Print Name: \_\_\_\_\_

**Structural Engineer:** \_\_\_\_\_ Date: \_\_\_\_\_  
Signature: \_\_\_\_\_ Print Name: \_\_\_\_\_

**Civil Engineer:** \_\_\_\_\_ Date: \_\_\_\_\_  
Signature: \_\_\_\_\_ Print Name: \_\_\_\_\_

**Mechanical Engineer:** \_\_\_\_\_ Date: \_\_\_\_\_  
Signature: \_\_\_\_\_ Print Name: \_\_\_\_\_

**Electrical Engineer:** \_\_\_\_\_ Date: \_\_\_\_\_  
Signature: \_\_\_\_\_ Print Name: \_\_\_\_\_

**Plumbing:** \_\_\_\_\_ Date: \_\_\_\_\_  
Signature: \_\_\_\_\_ Print Name: \_\_\_\_\_

**Other Principal  
Engineer:** \_\_\_\_\_ Date: \_\_\_\_\_  
Signature: \_\_\_\_\_ Print Name: \_\_\_\_\_