RFQ-02-21-22

PREQUALIFICATION FOR DESIGN-BUILD ENTITY

FOR

YERBA BUENA BALL FIELD IMPROVEMENTS

PROJECT CODE: YB00001002

SEPTEMBER 28, 2021

Prequalification for Design-Build Entity
Yerba Buena Ball Field Improvements
RFQ-02-21-22

I. INTRODUCTION

East Side Union High School District ("District"), established in 1950, serves over 24,000 students in grades 9-12 at 12 comprehensive high schools, five alternative education sites, seven child development centers, and six independent charter schools. The District offers a robust career technical education program through its magnet programs, California Academies, and an ROP JPA. The Adult Education Program serves an additional 8,100 students. The District has the fourth largest high school enrollment in the State and the largest in Northern California.

Located in the City of San Jose, Santa Clara County (Silicon Valley), the District encompasses 180 square miles, which geographically parallels approximately 14 miles of the East Foothills of the Valley. Within the East Side community of San Jose, there are approximately 161,092 households with a population of 574,502 residents. Seven feeder elementary districts send students to the District. The equitable community of this urban school district prides itself on its ethnic and cultural diversity. It is considered an ideal community because of its appealing climate, geographical location, and proximity to cultural centers and numerous institutions of higher learning.

Proposition 39 Measures G, E, I, and Z

In 2002, voters in the District approved Bond **Measure G** in the amount of $298 million for the purpose of modernizing existing school facilities and building new facilities to support the changing needs of K-12 education in the District. A substantial portfolio of projects has been completed under Measure G with seven active projects to be completed.

In 2008, voters in the District approved Bond **Measure E** in the amount of $349 million for the purpose of continuing the modernization of existing school facilities and building of new facilities to support the changing needs of K-12 education in the District. To date, over 100 Measure E projects have been completed with 36 active projects to be completed.

In 2012, voters in the District approved Bond **Measure I** in the amount of $120 million for the purpose of continuing the modernization of existing school facilities and building of new facilities to support the changing needs of K-12 education in the District. To date, over 44 Measure I projects have been completed with 38 active projects to be completed.

In 2016, voters in the District approved Bond **Measure Z** in the amount of $510 million for the purpose of continuing the modernization of existing school facilities and building of new facilities to support the changing needs of K-12 education in the District. Measure Z is the most current Bond Measure with 29 active projects to be completed and four projects still in design.

II. PROJECT BRIEF

The District is seeking to prequalify Design-Build Entities ("DBEs") or design-build teams to submit Statement of Qualification ("SOQ") for the design and construction of the District's Yerba Buena High School Ball Field Improvements Project ("Project"), in accordance with Education Code section 17250.10 et seq. The top three DBE Respondents ("Respondents") that have been prequalified by the District in response to this Request for Qualifications ("RFQ") will be eligible to submit proposals in response to the District's subsequent Request for Proposals ("RFP") for the Project. The District's performance specifications, which the selected DBE must adhere to in delivering the Project, will be made available to the prequalified Respondents at the RFP stage.

The DBE must be appropriately licensed and registered in the State of California for architectural, engineering, and construction services as needed to complete the Project. In addition, the selected DBE shall have experience with both design and construction of public-school facilities and in working with the Office of Public School Construction ("OPSC"), the Division of the State Architect ("DSA"), and Title 24 of the California Code of Regulations.

The DBE must be registered with the Department of Industrial Relations ("DIR") as required by law. The selected DBE will be required to comply with the Labor Code prevailing wage requirements and the District's bonding and insurance requirements. The DBE shall be required to work cooperatively with District staff, the Board of Education, all other technical consultants, the bridging architect, the project inspector, and any program and/or construction manager, retained by the District for the Project, Citizens' Bond Oversight Committee ("CBOC"), other District committees, and the community to facilitate timely and professional completion of the Project.

The selected DBE and its subcontractors shall comply with all applicable federal, state and local laws regarding COVID-19, including Vaccination and Testing Requirements.

PROJECT BACKGROUND

Playing sports teaches lessons of life, such as teamwork, accountability, self-confidence, responsibility, and self-discipline. Sports in school help prepares students in facing the challenges of life. It enhances their physical and mental abilities and help them achieve their goals. Now more than ever, outdoor activities are important as they promote in-person social interaction, inclusion, and the wellbeing of students.

The District will be converting Yerba Buena's existing natural turf baseball and softball field fields into an all-weather synthetic complex that shall support baseball, softball, and soccer play. In addition, elements of natural landscaping shall be intertwined within the synthetic fields inviting the feel of nature, providing natural shading, and promoting sustainability.

ESTIMATED COST

The expected cost of the Project, inclusive of all design and construction phase services as described above, is estimated to be $6,400,000.

PROJECT LABOR AGREEMENT

This project will be completed under the District's Project Labor Agreement (PLA).

BRIDGING ARCHITECT

Individuals who assisted in the development of the design criteria documents specific to the Project shall not be eligible to participate with any Respondents.

http://go.boarddocs.com/ca/esuhsd/Board.nsf/goto?open&id=BFAU5Q76EBA4

ESTIMATED PROJECT DURATION

The contract duration is estimated to be 11 months but will be established in the RFP. Liquidated damages will apply to late completion and the amount of the liquidated damages will be set in the RFP.

The campus will be occupied during the design and construction of this project.

STIPEND

A $4,000 stipend will be paid to the Respondents who complete the entire RFQ and RFP process, but who are not selected for award of contract.

III. TIMELINE/SCHEDULE AND EVALUATION

A. RFQ Schedule

The District anticipates the general schedule/milestones below; however, this is not a schedule-based contract. These dates are estimates and may change.

ACTION ITEM/DESCRIPTION	SCHEDULE
Release of RFQ	September 28, 2021
Request for Information Due	October 12, 2021 at 3:00 PM
Last Day for District to issue any addenda	October 18, 2021 at 4:00 PM
RFQ DUE**	October 21, 2021 at 4:00 PM
Notice to Prequalified Respondents	Week of November 01, 2021
Anticipated Release of RFP to Prequalified Respondents	Early December 2021

***Oral, telegraphic, facsimile, telephone, or email SOQs will not be accepted. SOQs received after this date and time will not be accepted.*

B. Selection Criteria

Statement of Qualifications will be evaluated under the following factors and score weight:

FACTOR	DESCRIPTION	WEIGHT
Design Experience & Expertise	Design-Build Architect must have sufficient experience and expertise to design the project that is being requested	30
Construction Experience & Expertise	Design-Build Contractor must have sufficient experience and expertise that matches the project description	40
Safety Record	Design-Build Contractor will include their safety record performed on past projects	10
Skilled and trained labor force	Design-Build contractor must demonstrate their ability to meet the requirements of AB 1358 for Skilled and Trained Labor Force	10
Violations and/or disputes	Design-Build team must list any violations and/or disputes that any of their team members may have been or are being involved with	10

IV. GENERAL CONDITIONS

A. Design-Build Procurement Process

Pursuant to Education Code section 17250.25, procurement of a DBE will follow two (2) phases:

1. Phase 1: Prequalification – The District incorporates by reference the prequalification application and evaluation system through PlanetBids.com. All contractors and Mechanical, Electrical, and Plumbing ("MEP") subcontractors are required to be prequalified pursuant to the District's prequalification requirements, per PCC 20111.6. In this RFQ, the District is requesting SOQs based on the significant factors the District reasonably expects to consider in evaluating qualifications identified in Section V (Instructions for Submittal of SOQS) below.

2. Phase 2: Design-Build Competition – The District will issue an RFP, inviting the top three prequalified Respondents to submit competitive proposals for the Project. The District will use a best value selection method for evaluating proposals. The procedure for final selection will be as follows:

    a. The District may, at its discretion, request proposal revisions and hold discussions and negotiations with responsive proposers. The RFP will define applicable procedures to ensure that discussions or negotiations are conducted in good faith.

    b. The District will rank responsive proposers based on a determination of value provided but shall not be required to rank more than three (3) proposers.

    c. The District will evaluate responsive proposals using only the criteria and selection procedures specifically identified in the RFP.

    d. The District will award the contract, if at all, to the responsible DBE whose proposal is determined by the District to be the best value.

Per District Policy, any person or entity that has performed or is performing services for District relating to the solicitation of this design-build project will not be eligible to submit as a design-build entity or join a design-build team.

If applicable, Respondents who are submitting a SOQ to the District must indicate deviations in a separate specification sheet attached to the SOQ.

In the event of any conflicts or ambiguities between these instructions and State or Federal laws, regulations or rules, then the latter shall prevail.

B. Request for Information

Any explanation requested by the Respondents regarding the meaning or interpretation of this RFQ must be submitted via PlanetBids.com. Oral explanations or instructions will not be binding. In the interest of fairness and an open process, the District will furnish information concerning this RFQ with an addendum via PlanetBids.com.

C. Full Opportunity

The District hereby affirmatively ensures that all Respondents including Disadvantaged Business Enterprises ("DBE"), Small Local Business Enterprise ("SLBE"), Small Emerging Local Business Enterprise ("SELBE"), and Disabled Veterans Business Enterprise ("DVBE") firms shall be afforded full opportunity to submit qualifications in response to this RFQ and will not be discriminated against on the basis of race, color, national origin, ancestry, disability, gender, transgender status, political affiliation, or religion in any consideration leading to the award of contract.

D. Limitations

This RFQ is neither a formal request for proposal, nor an offer by the District to contract with any party responding to this RFQ. The District reserves the right to add additional prequalified Respondents for consideration after distribution of this RFQ. All decisions concerning selection of the DBE will be made in the best interest of the District. The issuing of a subsequent RFP and awarding of the contract pursuant to the subsequent RFP, if at all, is at the sole discretion of the District.

The District makes no representation that participation in this RFQ process will lead to an award of contract or any consideration whatsoever. The District shall in no event be responsible for the cost of preparing any SOQ or other response to this RFQ.

SOQs are not public records and are not open to public inspection. All information provided will be kept confidential to the extent permitted by law. The contents, however, may be disclosed to third parties for purpose of verification or investigation. State law requires that the names of Respondents applying for prequalification status shall be public records subject to disclosure.

E. Restrictions on Lobbying and Contacts

From the period beginning on the date of the issuance of this RFQ and ending on the date of the award of the contract, no person, or entity submitting in response to this RFQ, nor any officer, employee, representative, agent, or consultant representing such a person or entity shall contact through any means or engage in any discussion regarding this RFQ, the evaluation or selection process/or the award of the contract with any member of the District, Board of Education, selection members, or any member of the CBOC. Any such contact shall be grounds for disqualification of the Respondent submitting a SOQ.

F. Final Determination

Prequalification is at the sole discretion of the District. The District reserves the right to waive any irregularities, informalities, and omissions in the information contained in any SOQ, reject any SOQs, and to make all final determinations. The District further reserves the right to suspend or rescind prequalification at any time based on subsequently learned information.

Each SOQ must be certified under penalty of perjury. If any information provided by a respondent becomes inaccurate, the respondent must immediately notify District and provide updated, accurate information in writing, under penalty of perjury.

Incomplete SOQs will be considered nonresponsive and grounds for disqualification. The District retains the sole discretion to determine issues of compliance and to determine whether a respondent is responsive and qualified.

V. INSTRUCTIONS FOR SUBMITTAL OF STATEMENT OF QUALIFICATION

The purpose of this SOQ is to demonstrate the DBE's ability to design and construct public works project. The Respondent's completed SOQ shall be clear, concise, and well-organized. Please index and number all pages (tabbed and labeled organization is appreciated).

DBE must submit the SOQ through PlanetBids.com. DBE seeking clarification of the process should submit their questions in writing via PlanetBids.com.

A completed Statement of Qualification submittal will include the following:

Cover Letter – DBE will submit a one-page **Introductory Letter** and include the following: the legal name of the DBE team, contact person, address, telephone, and website, as well as email addresses of principal contacts.

Table of Contents – A **Table of Contents** of the material contained in the submittal should follow the Cover Letter.

Application – Submitter shall include a completed **Application** attached to this document, as **Exhibit A** and **Exhibit B**

Exhibit A – The **Description of Qualification** should include the following sections:

A. Experience – A Comprehensive Narrative
B. Established Performance History
C. Team Organization (one page) and Resumes of Proposed Key Personnel (one page per proposed member)
D. Supplemental Information – Optional (one page)
E. Insurance Information

Exhibit B – The **Qualification Application** should include the following sections:

A. Design-Build Entity Team Information
B. General Contractor Information
C. Architect of Record Information
D. Civil Engineer Information
E. Structural Engineer Information
F. Mechanical Engineer Information
G. Electrical Engineer Information
H. Plumbing Information
I. Other Principal Engineer Information (if applicable)
J. Pass/Fail Questionnaire
K. Signature Page

EXHIBIT A
Description of Qualification

A. Experience – A Comprehensive Narrative

Provide a Comprehensive Narrative of the Design-Build services for public works construction offered by the DBE team. Respondents should demonstrate how they either match or closely approximate the significant factors below by providing the following information:

- Design experience and expertise
- Construction experience and expertise
- Describe the firm's acceptable safety record
- Explain the firm's enforceable commitment to use a skilled and trained workforce for the Project, as applicable
- Capacity to obtain required bonds and insurance of the Project; and
- Financial capacity to complete the Project

B. Established Performance History

- List at least two recent projects in which the DBE has participated as a contractor, builder, designer, architect, or designer-builder during the past five (5) years with a contract value of at least Ten Million Dollars ($10,000,000)
- Include all projects for California K-12 school district(s) and California community college district(s) performed by the DBE or one of entities that is part of the DBE. Projects with DSA review must be included for consideration.
- List any violations and/or disputes that any of the team members may have been or are being involved with
- Provide any other information that would assist the District in understanding the DBE's capacity to efficiently and effectively complete the Project

C. Team Organization and Resumes of Proposed Key Personnel

The District is interested in knowing more about the people and their background associated with the DBE. Identify key personnel that would be assigned to work on this Project.

- Provide a Project Organization Chart which shows all firms and names of team members, including subcontractors (i.e. structural, civil, mechanical, electrical, plumbing, and other principal engineer) that the DBE is prequalifying in this SOQ. General Contractors are free to list their known subcontractors.

- Provide a resume for each DBE team member:
  - Name
  - Years with company
  - Years of experience
  - List a minimum of three public educational projects
  - List all required license numbers, registration numbers, certification(s), and affiliation(s) to design and construct the Project, with no recent revocations or suspensions
  - Any additional information

D. <u>Supplemental Information – Optional</u>

District may use other sources of information outside of the SOQs to investigate respondents or verify answers. District's investigation may extend beyond contacting project references identified in the SOQs. After completing its evaluation, the District will notify respondents selected for prequalification.

- Respondents may add supplementary information if such information would assist in the analysis of the SOQ.

E. <u>Insurance Information</u>

Submitter shall provide a letter from an insurance company confirming that the surety will provide Submitter the minimum coverage(s) and amounts(s) included herein:

Required Insurance:

a. Comprehensive General Liability, Insurance coverage amount(s) shall be no less than: Comprehensive General Liability - $2,000,000 for each occurrence - $4,000,000 general aggregate limit.

b. Comprehensive Automobile Liability, covering allowed, non-owned, and hired vehicles. Insurance coverage amount(s) shall be no less than: $2,000,000 each person Bodily Injury - $2,000,000 each occurrence Bodily Injury - $2,000,000 each occurrence Property Damage.

c. Worker's Compensation Insurance, in accordance with the most recently amended version of the "Workers' Compensation Insurance and Safety Act". Insurance coverage amount(s) shall be that amount established by the State.

d. Professional Liability ("Errors and Omissions") Insurance covering DBE's activities, in the amount not less than $2,000,000.00 with an insurance carrier satisfactory to District for the period covered by this Agreement.

e. Builder's Risk Insurance, Design/Builder shall procure and maintain Builder's Risk, the cost shall be consistent with the total replacement cost of all insurable Work of

the Project included within the Contract Documents.

f. Other Requirements:

i. DBE shall furnish the District a Certificate of Insurance prior to commencement of work. Upon request by the District, DBE shall provide a certified copy of any insurance policy to the District within ten (10) working days.

ii. The insurance company or companies shall provide signed copies of the specified endorsements for each policy. DBE shall submit endorsement copies within thirty (30) days of execution of this Agreement. Said endorsement must name ESUHSD, its agents and representatives as additionally insured.

iii. Certificates and policies shall state that the policies not be canceled or reduced in coverage or changed in any other material aspect without thirty (30) days prior written notice to the District

Provide details of DBE's insurance history for previous 5 years:

Agency Name: _____

Contact Name: _____

Phone: _____

Email Address: _____

Carrier: _____

A.M. Best Rating: _____

EXHIBIT B
PROJECTS OVER $10 MILLION

Respondents shall complete the application below and submit in accordance with instructions provided by the District.

A. DESIGN-BUILD ENTITY TEAM INFORMATION

General Contractor: _____

Architect of Record: _____

Civil Engineer: _____

Structural Engineer: _____

Mechanical Engineer: _____

Electrical Engineer: _____

Plumbing: _____

Other Principal Engineer Name (specify expertise): _____

Design-Build Entity Primary Contact Person: _____

Address: _____

Phone: _____

Email: _____

DIR Registration Number: _____

Insurance Company (Co.): _____

Address: _____

Insurance Co.'s Agent: _____

Agent's Phone: _____

Identify all shareholders, partners, or members known at the time of submitting the SOQ who will perform work on the Project. (Only if the DBE is a corporation, limited liability company, partnership, joint venture, or other legal entity)

_____

_____

_____

Attach a copy of the organizational documents or the agreement committing to form the DBE team to the SOQ (as Appendix A). Failure to attach will result in automatic disqualification. (Only if the DBE is a corporation, limited liability company, partnership, joint venture, or other legal entity)

B. GENERAL CONTRACTOR INFORMATION

Company Name (as it appears on license): _____

Date of formation/incorporation: _____

Address: _____

Contractor DIR Registration Number: _____

Contractor License Number: _____

License Classification: _____

License Expiration Date: _____

Type of Firm:

☐ Corporation ☐ Sole Proprietorship ☐ Partnership
☐ Joint Venture ☐ LLC ☐ Other:

Contact Person: _____

Title: _____

Phone: _____

Email: _____

If Company is a sole proprietor or partnership, identify Owner(s) of Company as follows:

Name: _____

Position: _____

Years with Company: _____

Percentage of Ownership: _____

[General Contractor Information continue on next page]

(General Contractor Information Continued)

Contractor's Bonding Co./Surety: _____

Address: _____

Surety Agent: _____

Agent's Phone: _____

Co.'s Bonding Capacity: _____

1. Has there been a change in the Company's Surety within the last three (3) years?

   ☐ **YES** ☐ **NO** If yes, please identify other sureties and provide explanation on a separate page.
   [Reference as B1]

2. Has there been a change in ownership of the Company within the last three (3) years?

   ☐ **YES** ☐ **NO** If yes, please provide explanation on a separate page.
   [Reference as B2]

   *__NOTE__: A publicly-traded corporation is not required to answer this question.

3. Has the Company changed names or license number in the past five (5) years?

   ☐ **YES** ☐ **NO** If yes, please provide explanation, including reason for the change on a separate page.
   [Reference as B3]

4. Has any owner, Contractor State License Board qualifier, or corporate officer operated as a contractor under any name or license number (not listed above) in the last five (5) years?

   ☐ **YES** ☐ **NO** If yes, please provide explanation on a separate page.
   [Reference as B4]

C. ARCHITECT OF RECORD INFORMATION

Company Name (as it appears on license): _____

Date of formation/incorporation: _____

Sate of formation/incorporation: _____

Address: _____

Type of Firm:

☐ Corporation ☐ Sole Proprietorship ☐ Partnership
☐ Joint Venture ☐ LLC ☐ Other:

Contact Person: _____

Title: _____

Phone: _____

Email: _____

If Company is a sole proprietor or partnership, identify Owner(s) of Company as follows:

Name: _____

Position: _____

Years with Company: _____

Percentage of Ownership: _____

Insurance Co.: _____

Address: _____

Insurance Co.'s Agent: _____

Agent's Phone: _____

[Architect of Record Information continue on next page]

(Architect of Record Information Continued)

1. Has there been a change in ownership of the Company within the last three (3) years?

   ☐ **YES** ☐ **NO** If yes, please provide explanation on a separate page. [Reference as C1]

   *__NOTE__: A publicly-traded corporation is not required to answer this question.

2. Has the Company changed names in the past five (5) years?

   ☐ **YES** ☐ **NO** If yes, please provide explanation, including reason for the change on a separate page. [Reference as C2]

3. Has any owner or corporate officer operated worked for any other architectural firm in the last five (5) years?

   ☐ **YES** ☐ **NO** If yes, please provide explanation on a separate page. [Reference as C3]

D. CIVIL ENGINEER INFORMATION

Company Name (as it appears on license): _____

Date of formation/incorporation: _____

Sate of formation/incorporation: _____

Address: _____

License Number: _____

Type of Firm:

☐ Corporation ☐ Sole Proprietorship ☐ Partnership
☐ Joint Venture ☐ LLC ☐ Other:

Contact Person: _____

Title: _____

Phone: _____

Email: _____

If Company is a sole proprietor or partnership, identify Owner(s) of Company as follows:

Name: _____

Position: _____

Years with Company: _____

Percentage of Ownership: _____

Insurance Co.: _____

Address: _____

Insurance Co.'s Agent: _____

Agent's Phone: _____

[Civil Engineer Information continue on next page]

(Civil Engineer Information Continued)

1. Has there been a change in ownership of the Company within the last three (3) years?

   ☐ **YES** ☐ **NO** If yes, please provide explanation on a separate page. [Reference as D1]

   *__NOTE__: A publicly-traded corporation is not required to answer this question.

2. Has the Company changed names or license number in the past five (5) years?

   ☐ **YES** ☐ **NO** If yes, please provide explanation, including reason for the change on a separate page. [Reference as D2]

3. Has any owner or corporate officer operated worked for any other civil engineering firm in the last five (5) years?

   ☐ **YES** ☐ **NO** If yes, please provide explanation on a separate page. [Reference as D3]

E. STRUCTURAL ENGINEER INFORMATION

Company Name (as it appears on license): _____

Date of formation/incorporation: _____

Sate of formation/incorporation: _____

Address: _____

License Number: _____

Type of Firm:

☐ Corporation ☐ Sole Proprietorship ☐ Partnership
☐ Joint Venture ☐ LLC ☐ Other:

Contact Person: _____

Title: _____

Phone: _____

Email: _____

If Company is a sole proprietor or partnership, identify Owner(s) of Company as follows:

Name: _____

Position: _____

Years with Company: _____

Percentage of Ownership: _____

Insurance Co.: _____

Address: _____

Insurance Co.'s Agent: _____

Agent's Phone: _____

[Structural Engineer Information continue on next page]

(Structural Engineer Information Continued)

1. Has there been a change in ownership of the Company within the last three (3) years?

   ☐ **YES** ☐ **NO** If yes, please provide explanation on a separate page. [Reference as E1]

   *__NOTE__: A publicly-traded corporation is not required to answer this question.

2. Has the Company changed names or license number in the past five (5) years?

   ☐ **YES** ☐ **NO** If yes, please provide explanation, including reason for the change on a separate page. [Reference as E2]

3. Has any owner or corporate officer operated worked for any other structural engineering firm in the last five (5) years?

   ☐ **YES** ☐ **NO** If yes, please provide explanation on a separate page. [Reference as E3]

F. MECHANICAL ENGINEER INFORMATION

Company Name (as it appears on license): _____

Date of formation/incorporation: _____

Sate of formation/incorporation: _____

Address: _____

License Number: _____

Type of Firm:

☐ Corporation ☐ Sole Proprietorship ☐ Partnership
☐ Joint Venture ☐ LLC ☐ Other:

Contact Person: _____

Title: _____

Phone: _____

Email: _____

If Company is a sole proprietor or partnership, identify Owner(s) of Company as follows:

Name: _____

Position: _____

Years with Company: _____

Percentage of Ownership: _____

Insurance Co.: _____

Address: _____

Insurance Co.'s Agent: _____

Agent's Phone: _____

[Mechanical Engineer Information continue on next page]

(Mechanical Engineer Information Continued)

1. Has there been a change in ownership of the Company within the last three (3) years?

   ☐ **YES** ☐ **NO** If yes, please provide explanation on a separate page. [Reference as F1]

   *__NOTE__: A publicly-traded corporation is not required to answer this question.

2. Has the Company changed names or license number in the past five (5) years?

   ☐ **YES** ☐ **NO** If yes, please provide explanation, including reason for the change on a separate page. [Reference as F2]

3. Has any owner or corporate officer operated worked for any other mechanical engineering firm in the last five (5) years?

   ☐ **YES** ☐ **NO** If yes, please provide explanation on a separate page. [Reference as F3]

G. ELECTRICAL ENGINEER INFORMATION

Company Name (as it appears on license): _____

Date of formation/incorporation: _____

Sate of formation/incorporation: _____

Address: _____

License Number: _____

Type of Firm:

☐ Corporation ☐ Sole Proprietorship ☐ Partnership
☐ Joint Venture ☐ LLC ☐ Other:

Contact Person: _____

Title: _____

Phone: _____

Email: _____

If Company is a sole proprietor or partnership, identify Owner(s) of Company as follows:

Name: _____

Position: _____

Years with Company: _____

Percentage of Ownership: _____

Insurance Co.: _____

Address: _____

Insurance Co.'s Agent: _____

Agent's Phone: _____

[Electrical Engineer Information continue on next page]

(Electrical Engineer Information Continued)

1. Has there been a change in ownership of the Company within the last three (3) years?

   ☐ **YES** ☐ **NO** If yes, please provide explanation on a separate page. [Reference as G1]

   *__NOTE__: A publicly-traded corporation is not required to answer this question.

2. Has the Company changed names or license number in the past five (5) years?

   ☐ **YES** ☐ **NO** If yes, please provide explanation, including reason for the change on a separate page. [Reference as G2]

3. Has any owner or corporate officer operated worked for any other electrical engineering firm in the last five (5) years?

   ☐ **YES** ☐ **NO** If yes, please provide explanation on a separate page. [Reference as G3]

H. PLUMBING INFORMATION

Company Name (as it appears on license): _____

Date of formation/incorporation: _____

Sate of formation/incorporation: _____

Address: _____

License Number: _____

Type of Firm:

☐ Corporation ☐ Sole Proprietorship ☐ Partnership
☐ Joint Venture ☐ LLC ☐ Other:

Contact Person: _____

Title: _____

Phone: _____

Email: _____

If Company is a sole proprietor or partnership, identify Owner(s) of Company as follows:

Name: _____

Position: _____

Years with Company: _____

Percentage of Ownership: _____

Insurance Co.: _____

Address: _____

Insurance Co.'s Agent: _____

Agent's Phone: _____

[Plumbing Information continue on next page]

(Plumbing Information Continued)

1. Has there been a change in ownership of the Company within the last three (3) years?

   ☐ **YES** ☐ **NO** If yes, please provide explanation on a separate page. [Reference as H1]

   *__NOTE__: A publicly-traded corporation is not required to answer this question.

2. Has the Company changed names or license number in the past five (5) years?

   ☐ **YES** ☐ **NO** If yes, please provide explanation, including reason for the change on a separate page. [Reference as H2]

3. Has any owner or corporate officer operated worked for any other plumbing firm in the last five (5) years?

   ☐ **YES** ☐ **NO** If yes, please provide explanation on a separate page. [Reference as H3]

I. OTHER PRINCIPAL ENGINEER INFORMATION (IF APPLICABLE)

Company Name (as it appears on license): _____

Date of formation/incorporation: _____

Sate of formation/incorporation: _____

Address: _____

License Number: _____

Engineering Discipline: _____

Type of Firm:

☐ Corporation ☐ Sole Proprietorship ☐ Partnership
☐ Joint Venture ☐ LLC ☐ Other:

Contact Person: _____

Title: _____

Phone: _____

Email: _____

If Company is a sole proprietor or partnership, identify Owner(s) of Company as follows:

Name: _____

Position: _____

Years with Company: _____

Percentage of Ownership: _____

Insurance Co.: _____

Address: _____

Insurance Co.'s Agent: _____

Agent's Phone: _____

[Other Principal Engineer Information continue on next page]

(Other Principal Engineer Information Continued)

1. Has there been a change in ownership of the Company within the last three (3) years?

   ☐ **YES** ☐ **NO** If yes, please provide explanation on a separate page. [Reference as I1]

   *__NOTE__: A publicly-traded corporation is not required to answer this question.

2. Has the Company changed names or license number in the past five (5) years?

   ☐ **YES** ☐ **NO** If yes, please provide explanation, including reason for the change on a separate page. [Reference as I2]

3. Has any owner or corporate officer operated worked for any other engineering firm in the last five (5) years?

   ☐ **YES** ☐ **NO** If yes, please provide explanation on a separate page. [Reference as I3]

J. PASS/FAIL QUESTIONNAIRE

1. Does Architect possess a valid and current California professional license for the services for which Architect will be responsible?	☐ **YES** ☐ **NO**
2. Does each Principal Engineer (Structural, Civil, Mechanical, Electrical, Plumbing, and Acoustical (if applicable)) possess a valid and current California professional license for the services for which the Engineer will be responsible?	☐ **YES** ☐ **NO**
3. Does Architect have a current Workers' Compensation Insurance policy as required by the Labor Code or is legally self-insured pursuant to Labor Code section 3700 *et. seq*.?	☐ **YES** ☐ **NO**
4. Does each Engineer have a current Workers' Compensation Insurance policy as required by the Labor Code or is legally self-insured pursuant to Labor Code section 3700 *et. Seq*.?	☐ **YES** ☐ **NO**
5. Does Contractor know and understand its obligations regarding the employment of apprentices on public works projects and intends to comply with such requirements?	☐ **YES** ☐ **NO**
6. Is the Design-Build Entity's surety (and Contractor's surety, if Contractor is not the Design-Build Entity) admitted by the State of California Department of Insurance to do business in the State of California?	☐ **YES** ☐ **NO**
7. Is the Design-Build Entity's surety (and Contractor's surety, if Contractor is not the Design-Build Entity) listed in the current edition of the United States Department of the Treasury's listing of approved sureties?	☐ **YES** ☐ **NO**
8. Does the Design-Build Entity's surety (and Contractor's surety, if Contractor is not the Design-Build Entity) possess a Bests' rating of no less than (A-) Level VII?	☐ **YES** ☐ **NO**
9. Has the Architect's license been revoked at any time in the last five years?	☐ **YES** ☐ **NO**
10. Has any Engineer's (Structural, Civil, Mechanical, Electrical, Plumbing, and Acoustical (if applicable) license been revoked at any time in the last five years?	☐ **YES** ☐ **NO**

[Pass/Fail Questionnaire continue on next page]

(Pass/Fail Questionnaire Continued)

11. Has Contractor been "default terminated" by an owner (other than for convenience) or its surety has completed or paid for completion of a contract within the last five years? ☐ **YES** ☐ **NO**

12. Has Contractor been deemed ineligible to bid on or be awarded any local, state or federal public works contract, or to perform as a general contractor or subcontractor on any such public works contract, pursuant to either Labor Code section 1777.1 or Labor Code section 1777.7 or any other local, state or federal law or regulation? ☐ **YES** ☐ **NO**

13. Is the Contractor, the Architect, or any Principal Engineer (Structural, Civil, Mechanical, Electrical, Plumbing, and Acoustical (if applicable)) currently the debtor in a bankruptcy case? ☐ **YES** ☐ **NO**

In order to pass, Respondent must answer "yes" to questions 1-8, and answer "no" to questions 9-13

K. SIGNATURE PAGE

The undersigned members of _____ ("Design-Build Entity") hereby declare, under penalty of perjury under the laws of the State of California, that all information provided in the Design-Build Entity's Statement of Qualifications are true and correct.

General Contractor: _____ Date: _____

Signature: _____ Print Name: _____

Architect of Record: _____ Date: _____

Signature: _____ Print Name: _____

Structural Engineer: _____ Date: _____

Signature: _____ Print Name: _____

Civil Engineer: _____ Date: _____

Signature: _____ Print Name: _____

Mechanical Engineer: _____ Date: _____

Signature: _____ Print Name: _____

Electrical Engineer: _____ Date: _____

Signature: _____ Print Name: _____

Plumbing: _____ Date: _____

Signature: _____ Print Name: _____

Other Principal Engineer: _____ Date: _____

Signature: _____ Print Name: _____